

Annex 3 to the DOWNPASS-Standard - preliminary version -

For consultation and resolution on the occasion of the Downpass Meeting of Members on 01.06.2021

Voluntary additional supply chain disclosure audit of companies using the DOWNPASS trademark - risk assessment of companies.

A Basics

DOWNPASS companies must possess a management system to ensure that feathers and down originating from non-certified/audited sources can be identified as such. To do this, they must be processed and stored separately from the certified/audited materials, among other things.

With this annex, those companies that have already successfully qualified to use the DOWNPASS are given the opportunity to undergo a voluntary additional audit to disclose the supply chain of all feathers and down processed in the company.

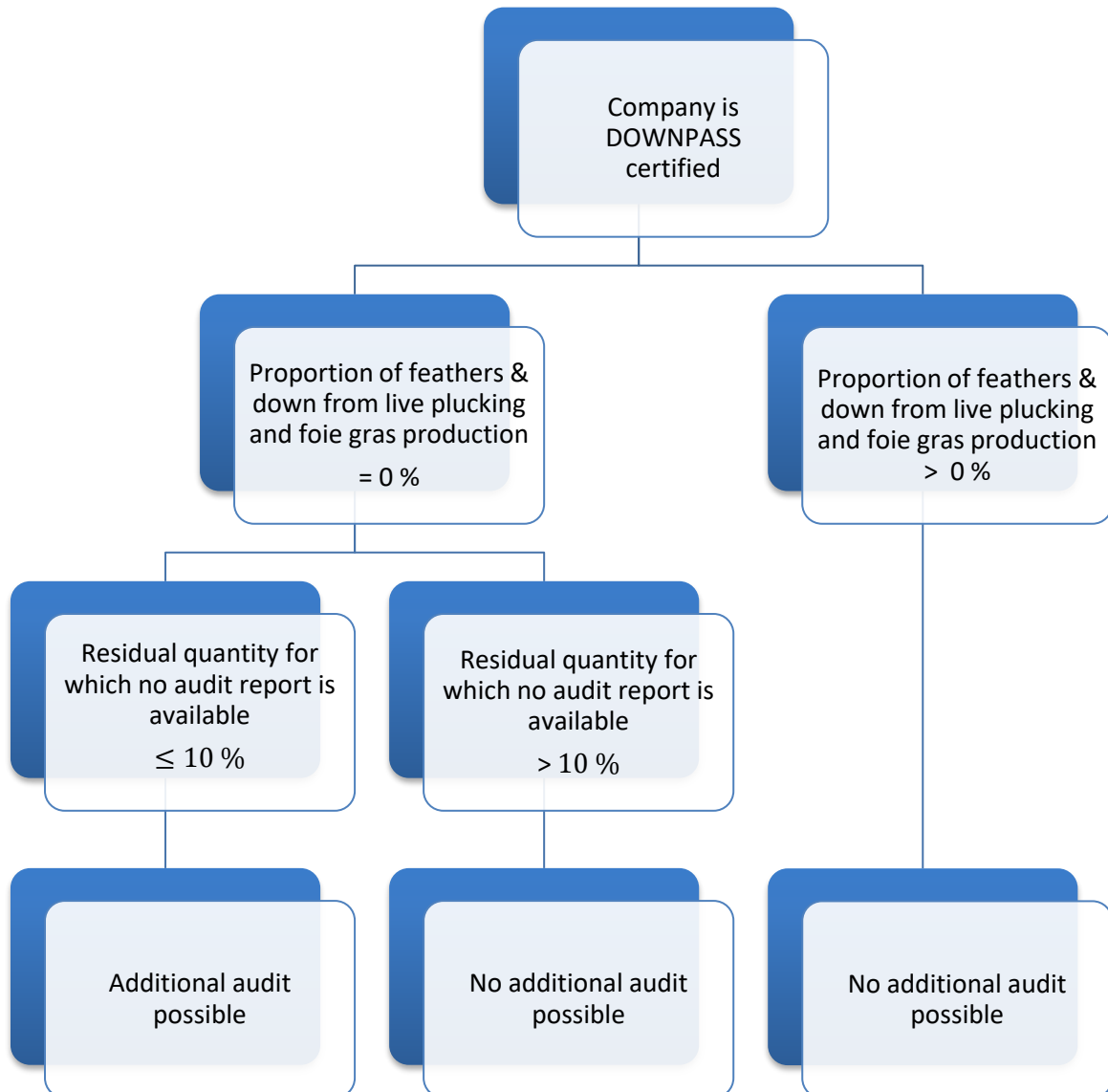
This additional audit may be used in addition to the DOWNPASS audit, it does not replace it. This additional audit may not be used to mark fillings with the DOWNPASS.

This voluntary additional audit is used to document which proportions of DOWNPASS materials and which proportions of other materials are processed in a company that is not DOWNPASS-audited but meets the traceability and animal welfare requirements of the DOWNPASS-Standard. For this purpose, disclosure and documentation of the supply chain is done through a voluntary additional audit. Companies that exceed a certain threshold of non-DOWNPASS materials are not allowed to participate in this additional audit.

The result of this additional audit does not impair a successfully completed DOWNPASS audit.

B Requirements for companies to participate in the voluntary additional audit for supply chain disclosure

Flowchart illustrating authorization to participate in the voluntary additional supply chain disclosure audit.



Companies that want to take advantage of this additional auditing option must contact a recognized auditing organization and disclose all sources for sourcing feathers and down.

If these companies process and/or sell feathers and down for which there is no successfully completed DOWNPASS audit, a complete supply chain of these quantities from rearing to processing must be presented to the DOWNPASS recognized auditor.

Required information includes, among other things:

- Name of the supplier(s)
- Address/location of supplier and all pre-suppliers (including slaughterhouses and farms).
- Total quantity purchased annually/biennially
- Submission of all existing audit reports/certifications/documentation on this filling material.

The auditors recognized by the DOWNPASS perform confirmation visits according to the formula to verify the submitted documentation/audit reports:

Sample = 2 x square root of the number of farms

C Filling materials used and consequences for participation/non-participation in the voluntary additional audit.

Examples of filling materials to be considered when determining the quantity of materials to be audited for this additional audit:

Filling material of the category 1:

- Loss of production or material during processing/manufacturing (washing, sorting, backfilling) up to a maximum of 40% of the total quantity used during the auditing period
- Successfully audited DOWNPASS material
- Filling material that meets the quality requirements of the DOWNPASS-Standard and has been successfully audited by other 3rd order zero tolerance standards such as Responsible Down Standard or Global Traceable Down Standard with regard to animal welfare in the chain of custody.
- Filling material that has been audited or certified by approved and recognized auditors and whose reports are made fully available to the auditor. These reports on the auditing of all stages of the chain of custody up to the rearing of the animals must show that no feathers have been obtained from the live animal and that feathers and down from animals kept for the production of foie gras have not been used

Companies using such category 1 filling materials can participate in the voluntary DOWNPASS risk assessment of companies. If the voluntarily disclosed supply chain identifies filling materials that are untraceable in a proportion of less than 10% of the total quantity, e.g. for economic reasons, **participation** in the additional audit is **possible**.

The admissibility of participation in this additional audit is determined on the basis of the percentage of the residual quantity for which no audit reports/documentation are available. If the remaining residual risk is less than or equal to 10% ¹, the auditor may issue a report on a successfully completed additional audit with the result "Low Risk" to the company concerned.

Materials of the category 2:

- Feathers and down obtained from live animals
- Feathers and down, which are a by-product of the foie gras production process
- Feathers and down that are not retraceable, e.g. for economic reasons, if the quantity of these filling materials is more than 10% of the total quantity processed in the auditing period

If evidence is found in the voluntarily disclosed supply chain of the use of feathers and down from live animals or feathers and down that are by-products of foie gras production (category 2 materials), **participation** in the voluntary additional audit is **not possible**.

¹ See DIN ISO 2859-1:2014-08,3.1.27. – Note: „The purchaser risk is usually 10 %“

A successfully completed DOWNPASS audit will not be impaired by non-participation in a company's voluntary additional risk assessment audit.